

Procedure for Road Despatch and Refund

A committee comprising of the following members was constituted vide office order no. ECL/HQ/M&S/Office Order/841 dated 24.10.2024: -

1. Sri Arpan Bhattacharjee, ASM Salanpur
2. Sri Sharafat Ansari, Manager (M&S), ECL HQ
3. Sri Mritunjay Roy, ASM Sonapur Bazar
4. Sri Ravi Sudrania, Dy. Manager (F), ECL HQ
5. Sri Jai Singh, Dy. Manager (F), ECL HQ
6. Sri Niraj Kumar, ASM Mugma
7. Adnan Alam, Asst. Manager (M&S), ECL HQ

The committee in several meetings deliberated on the subject in detail. Observations and recommendations of the committee regarding Road despatch procedure and refund in Road mode in SAP are as follows: -

Offer of Coal for Road Sale

Road Sale offer is to be sent by the Areas duly endorsed by Area General Manager in the format sought from HQ which should necessarily mention the source, quantity, grade, size, weighbridge and remarks if any. The offer should be in accordance with the grade wise loading capacity keeping in view the anticipated production and stock of coal. The offer as per the above shall be communicated through SAP and Physical copy also. Physical copy is to be phased out after development of offer dashboard in SAP by CIL.

Allocation of Coal

1. For allocation of coal to power and non-power sector consumers, proposal for allocation shall be prepared by Sub-Group of IDC (Inter Disciplinary Committee) based on offer received from Areas. Proposal is examined and recommended by IDC for approval of Director-in-Charge of M&S. For allocation of coal to NRS sector including E-Auctions, Proposal for allocation shall be prepared by Sub-Group of IDC based on the deliberations held in the meeting of the IDC members and the directives issued to Sub-Group and

considering the offers received from Areas. Proposal is examined and recommended by IDC for approval of Director-in-Charge of M&S.

2. The last date for deposition of coal value against the above allocations will be as per the concerned scheme.
3. In exceptional circumstances like payments made by customer within the last date and the same gets reverted back on account of technical failure either at the Bank's end or due to Banking Interface, any change in grade/size of coal or any differential amount that needs to be realised from bidder due to change in pricing/statutory charges, grant of additional time upto 10 (ten) days may be allowed by GM/HOD (M&S) depending on merit of the case and after recording the genuineness of such cases and any extension of time beyond the aforesaid period shall be with the approval of Director-in-Charge of M&S.

Process for issuance of Sale order

In case of FSA:

1. Post approval of the recommendations of IDC, an allocation notice shall be issued by the concerned Section (Rail/Road) and the same shall be uploaded into SAP.
2. Consumers will make the payments as per concerned scheme and submit application with payment and other details to Road Sales section.
3. After completion of requisite formalities, Sale Order is created and 1st Level approval (APR1) in SAP is accorded by Road Sale Section.
4. Associate Finance of M&S section shall confirm the payment against such applications in SAP and approve the same at APR2 level.
5. Finally, the Sale Order shall be issued with the approval of GM/HOD(M&S) at the 3rd level i.e. APR3 to be accorded.
6. Final Sale Orders as generated after APR3 in SAP shall be sent to Bidders on their registered e-mail via auto triggered mail through SAP with due intimation of same to the concerned area through SAP.

In case of E-Auction:

1. After receipt of report from Service Providers, same shall be entered into SAP by Road Sale section. If some bidders are not registered in SAP, the bid-sheet/ details of such bidder along with PAN, GSTIN and Address etc. shall be forwarded by Road Sale section to CIL for registration / generation of SAP code of such Bidders.

2. After registration of all the bidders in SAP and receipt of SAP Consumer code from CIL, Bid-sheets and EMD-sheets shall be uploaded in SAP by road sale section.
3. After uploading of bid-sheets and EMD Sheets in SAP, Associate Finance of M&S shall post such bid-sheets and Bid Security (EMD) amount in accordance with applicable clause for verification of Bid Security (EMD) amount received from Service Provider in SAP.
4. Once bid sheets and EMD sheets in respect of all bidders is uploaded in SAP, approval at APR1 level shall be done by Road Sale section and Sale Order & Proforma Invoice shall be generated and e-mailed to respective bidders for deposition of coal value automatically through SAP.
5. After payment of Coal value by bidders, Associate Finance of M&S shall verify the coal value deposited by the bidders within the timeline indicated in the 'Coal Value Deposition' notice issued by Road-sale section and execute APR2 level in SAP.
6. Thereafter, for issuance of final Sale Orders, GM/HOD(M&S) shall execute approval of same at APR3 level in SAP.
7. Final Sale Orders as generated after APR3 in SAP shall be sent to Bidders on their registered e-mail via auto triggered mail through SAP with due intimation of same to the concerned area through SAP.

Recommendation: An alert should be sent three days before the payment due date to the registered mobile number or email ID of consumers, reminding them to deposit the coal value

Road Sale & Dispatches at Area level

After receipt of Sale Order over e-mail, authorised representative of consumer/ bidder shall submit following documents at Area Sales Office:

1. Two copies of Authorisation document in the original letterhead of the buyer duly attesting the list of lifters/ handling agents if any as per the attached format.
2. Self-attested Bidder-ID card (in case of e-auction consumers).
3. In case of FSA NRS consumers, after execution of FSA, specimen signature is required to be submitted at HQ for verification and to be duly forwarded to concerned Areas.
4. In case of FSA Power sector consumer, authorisation document received over company domain email id shall be considered valid for release of coal to the authorised lifters/handling agents.

After receipt of proper authorisation documents physically or through Online Portal/App, and these documents shall be forwarded to the concerned unit within 2 working days/before the next cycle of weekly loading program.

Recommendation: Committee strongly recommends that an app/portal/provision in ERP may be developed by systems department ECL so that customers can upload the authorization documents online and the same is visible at the concerned Area and weighbridges. The access to upload/download the authorization documents may be made with PAN Number/Sale Order Number and Password/OTP on the registered mobile number.

Integration of Weighment software with JIMMS portal

1. Integration of weighment software with JIMMS portal shall be done so that weighment slip, GST delivery challan/Invoice and mining challan are generated in a single click and manual entry of weighment details in JIMMS portal can be avoided. The integration of weighment software with JIMMS portal requires intervention of State Government and till the time integration is done, existing practice shall continue to be followed.
2. Once the Delivery (Sale orders) is generated in SAP, Sales Department of the concerned Area shall arrange for application in JIMMS portal against such Sale Order within 2 (two) working days of receipt of same on FIFO basis. (Exception registers to be maintained in case of any inordinate delay in obtaining mining permits from JIMMS portal)
3. After receipt of mining permit approval by DMO against such Sale Order, such DO shall be considered for generation of Quota / Allotment.

Entry of vehicles

Entry of empty trucks for Weighment/Loading will commence from 6 AM and will continue up to 4 PM. Trucks reporting for tare-weighment will carry loading slip/advice issued by customer's authorized representative mentioning customer's name, S.O. details, Registration Number of trucks etc. Only after checking the quantity of coal it can carry as per MV ACT/Registered RTO capacity and the loading programme, the truck will be allowed for tare weighment.

Loading Program/Allotment Chart:

1. Allotment chart shall be generated automatically through SAP on weekly basis. It shall automatically fetch the DO details like balance quantity, DO validity and other relevant parameters etc. The chart shall be communicated automatically through system generated e-mail/ SMS to the lifter as well as project officials.
2. The Quota/Allotment chart shall be prepared by the Area Sales Manager in SAP.

3. In case any buyer fails to deploy their trucks daily as per the weekly loading programme within 11:00 AM, the number of trucks not reported shall be allowed to other willing customers with permission of the Manager/Nodal Officer for entry of empty trucks on first come first serve, subject to loadability and regularisation of the same in the records.
4. The adherence to quota / allotment chart at weighbridges is to be ensured by the Manager/Dispatch Officer and the Project Officer. Maintenance of weighbridge will be the job of E&M Department of the project/Area.

ICCC (Integrated Command and Control Centre)

1. For surveillance of entry, movement and exit of trucks, RFID based weighment and monitoring shall be done.
2. RFID card shall be issued to every truck. Loading points shall be covered under CCTV surveillance on 24x7 basis. All check posts shall be equipped with RFID based boom barrier system for entry of authorized trucks only. Provision for 360-degree photography of the empty truck at the exit gate shall be maintained.
3. Loading point shall be under CCTV surveillance on 24x7 basis. Proper lighting arrangement, proper security and safety measures shall be ensured to avoid accident and pilferage.

Timing for tare weighment and loading of coal

1. In case of e-Auction/Non-Power customers, Tare weight shall be allowed from 06.00 AM to 04.00 PM and in case of Power sector consumers having FSA, Tare weight shall be allowed from 06.00 AM to 05.00 PM.
2. Customers shall be allowed loading between 06:00 AM to 06:00 PM. Final weighment for the same will be allowed upto 8:00 PM. Any change/exception to above will be approved by The Director-In-Charge (M&S).
3. After tare weighment of the truck/tipper, it will proceed to the loading point along with its tare weighment slip duly generated by the RFID System and signed by weighbridge clerk/weighbridge In-charge and weighbridge security personnel responsible for verifying the empty truck after which the Loading Inspector/Clerk/Authorized representative of the Project/mine will allow for loading.
4. In case of any adjustment in quantity is required, the truck has to be sent back to the loading point/designated off-loading point for which necessary entries on the loading slip/tare weighment slip should be made by the weighbridge clerk, so that Loading

Inspector or any other employee authorised by the mine management can allow the adjustment in the quantity to be loaded or off-loaded. Loading should be allowed as per Registered RTO capacity.

5. Loaded truck will leave the site as soon as the loading is completed and all the loading activities of truck will be done within 6:00 PM so that final weighment and invoice gets generated within 8:00 pm.

"Empty Out" of truck:

1. Weighment of Empty Out trucks shall be done before leaving the mine premises.
2. In no case empty trucks, left at the end of the day, should be allowed night stay inside the mine premises. To ensure this, loading programme, drawn out by the Area, should be consistent with the loading capacity of the mine. Empty trucks if any, should be made to exit and such trucks if requested in writing by the customer, may be given first preference on the next day, provided there was failure on the part of colliery. Such truck will be reweighed for tare and fresh weighment slip will be issued. All empty trucks, allowed to make exit, should be re-weighed at the time of exit and proper records with system generated print out of empty re-weighment to check partially loaded truck going out.
3. However, chances of loaded truck going breakdown cannot be ruled out and they may need to stay within mine premises in night or till rectification of the defect. In that case a written request from the customer is required to grant over-stay permission. After granting over-stay permission, the truck will be handed over to Security/CISF along with owner book and driving license of driver. These documents and the truck will be seized and kept in the custody of the Security/CISF till the truck gets rectified. If any truck does not report for loading after tare-weighment and also leaves without intimating colliery/weighbridge, action may be initiated to blacklist the truck and also suspension of the related sale order.

Periodical Checks

1. Periodical checks are to be carried out by officials of concerned department as prescribed in different SOPs related to road dispatch and weighbridges circulated by CIL/ECL from time to time.
2. Area Sales Manager shall periodically inspect the sale point to ensure adherence of this road sale guidelines and proper maintenance of different records related to road sale as enumerated above. Exceptions may be reported to Area GM for corrective measures.
3. For control and monitoring a team at the Area level should be formed by the respective GM of the Area with the executives not directly associated with the Road Sales activities. The team will periodically make surprise checking of the weighments at the weighbridge,

loading of trucks at the coal depo and also the records being maintained by the weighbridges as well as by the security guards. Random re-weighment of some trucks is to be conducted by the team during such surprise checking. **Monthly report of all such surprise checking shall be submitted in the office of Director In-charge of M&S at HQ.**

Quality and Size of coal:

1. Manager/Dispatch officer shall be responsible for lifting of only assigned size & grade of coal as per Sale Order.
2. Third Party sampling/ Joint Sampling as per agreement/ applicability shall be done in the presence of authorized representatives of all concerned before exit of loaded Trucks.

Duties and responsibilities:

Road Sale Section (HQ):

1. Communicating with CIL regarding creation/mapping of new consumers in SAP.
2. Monitoring and uploading of Bid Sheets and EMD Sheets in SAP as desired format for creation of sale orders and proforma invoices.
3. Creation and approval of sale orders at level 1 in timely manner.
4. Overall monitoring of timely issuance of sale orders as per updated CIL guidelines.
5. APR 4 as per SOP of refund in timely manner.

Associate Finance to M&S Section (HQ)

1. Creation of Money Receipt (MR) and APR2 of sale orders after checking the payment receipt of coal value.
2. APR 5 and final refund to the customer as per SOP of refund in timely manner.
3. Overall monitoring of refund of sale orders after APR 4.

Agent/Project Officer (PO):

1. PO shall be responsible for all the dispatch related activities/operations at unit level.
2. PO shall be responsible for IT Initiatives like CCTV surveillance, RFID, Boom barrier etc.
- 3. If the truck is under breakdown after weighment of gross weighment including coal, on rectification of breakdown, truck will again be weighted before exit.**
4. PO shall be responsible for Providing adequate manpower, computer peripherals, stationary etc. for proper control and administration required for Road sale.
5. PO shall be responsible for rectification of short comings surfaced during periodic inspection by different officials.

Area Sales Manager (ASM):

1. ASM shall monitor adherence of Road Sale guidelines.
2. ASM shall be responsible for preparation of Allotment Chart/Quota.
3. ASM shall monitor proper maintenance of different Annexures/Registers related to Road Sale.
4. ASM shall monitor compliance of closure of sale order in accordance with laid down SOP.
5. ASM shall be responsible for raising the issues in case of any exceptions/deviation surfaced during periodic inspection to competent authority.

Manager/Dispatch Officer:

1. Manager/Dispatch Officer shall be responsible for all the dispatch related activities/operations at unit level.
2. Manager/Dispatch Officer shall be responsible for ensuring loading of coal as per quality and size as per Sale Order.

Loading Inspector/ Loading Clerk:

1. Loading Inspector shall ensure loading of only those vehicles which are carrying valid tare weighment slip within the timeline prescribed for loading.
2. Adjustment of quantity if required is to be carried out in their presence.
3. Loading Inspector shall ensure loading of proper size and grade of coal as per Sale Order.

Security Guard:

(i) At Check Post/Main Gate:

1. Main gate Security Guard shall ensure entry of only those vehicles which are having loading advice duly endorsed by Weighbridge/loading incharge. Details of such vehicles shall be entered in the register maintained for the purpose.
2. Main gate Security Guard shall ensure exit of only such vehicles which are having gate passes/mining challan and details of such vehicles shall be entered in the register maintained for the purpose.

(ii) At Weighbridge:

1. Weighbridge Security Guard shall ensure that trucks are empty before tare weighment as per applicable clause above and sign on tare weighment slip.
2. Weighbridge Security Guard shall ensure that trucks should not proceed to loading point after final weighment.

Weighbridge Clerk:

1. The weighbridge clerk shall ensure the compliance of the SOP regarding road weighbridges circulated by CIL.
2. They shall ensure to follow the timeline prescribed for weighment of vehicles.
3. They shall ensure loading as per Registered RTO capacity/quantity of coal it can carry as per latest MV Act.

Daily Reconciliation of data:

Daily reconciliation of data of Weighment record, security gate record and detained truck record shall be done by Dispatch Officer.

The above instructions are not meant to be exhaustive and the Area GMs are requested to introduce any additional improvements consistent with the objective of safeguarding company's commercial interests without causing any undue harassment to the consumers.

The Road Sale guidelines may be reviewed depending upon change of any circumstances/operational needs and requirements considering CVC/ MoC/CIL guidelines issued from time to time, as the case may be, and shall be binding in addition to above guidelines.

REFUND

Refund of coal value against lapsed quantity and Earnest Money Deposit (if applicable) shall be processed by Area Officials in line with the respective FSA/e-Auction Schemes.

PRICE REVISION

In case of upward revision of price due to whatsoever reason e.g. revision of notified price, bid price, grade change, other charges, statutory taxes & levies etc., the quantity eligible for lifting in a Sales Order shall be proportionate to the available coal value or the total SO quantity is to be supplied only after deposition of difference coal value. However, in such cases, it is to be ensured that proportionate EMD forfeiture/refund is processed in line with provisions contained in the respective e-Auction scheme. The quantity eligible for lifting in such cases is to be confirmed to the Area by AF to M&S section of ECL HQ based on data regarding residual balance of coal furnished by Road Sale Section on which such price revision is applicable.

Sale Order REOPENING

Due care is to be taken at the time of closure and approval of SO so that its reopening should not be required. However, exceptions cannot be ruled out like price revision, policy changes, migration etc. In such case where reopening of sale order is inevitable, the Area shall send proposal duly justifying the reasons for the same. GM (M&S) shall examine and recommend for obtaining approval of Director-in-charge (M&S).

Recommendations:

The current provision allows for the reversal of rejected sale orders up to Approval Level 4 in the SO Closure Dashboard of SAP for refund. This functionality shall be extended to include Approval Level 5 and Approval level 6 in the same dashboard for refund.

ROAD REFUND:

Observations:

- A. Primarily there are 2 stages involved in the refund process in ERP viz. Sale Order Closure and payment of refund to the bidders/consumers.
- B. In some scenarios, Sale Orders are to be closed at HQ level only (i.e. before 3rd stage of approval, APR3) which eventually become eligible for refunds/forfeiture from ECL HQ only. In other cases, the Sale Orders are to be closed at Area level (i.e. after grant of APR3 by GM (M&S)). The committee has recommended SOP broadly for these 2 types of cases separately.

Recommendations:

a. For E-Auctions:

- a. Activities based on the several scenarios of refund and proposed responsibilities of such activities are given on the below: -

Table:1

Sl.no	Scenario	MR for Bid Security	Bid Security forfeiture	Bid Security refund	MR for coal value	Coal value refund	SO to be closed from
1	Non-booking	Yes	Yes	NA	NA	NA	M&S, HQ
2	Booking less than minimum level	Yes	Yes	NA	Yes	Yes	M&S, HQ
3	Non/Partial Lifting - bidder fault	Yes	Yes	NA	Yes	Yes	Area/HQ*
4	Non/Partial Lifting - ECL's fault	Yes	No	Yes	Yes	Yes	Area/HQ*
5	Unlifted quantity is less than a truck load	Yes	No	Yes	Yes	Yes	Area/HQ*

*In case, if APR- 3 is not prepared, the refund procedure may start from HQ (M&S), to expedite refunds.

- b. Based on the table 1 activities involved in SO closure at Area and HQ and subsequent steps involved in the refund process have been separately categorized as detailed below:

(i) Sale Order closure at HQ and subsequent Refund –

For Non- Booking cases (including Booking less than minimum level)

Stages	Responsibility	Timeline
Auto Reject	SAP	Within 10 days from last day of payment due date
Auto Close and Approval	AF to M&S	Within next 7 days
Refund/Forfeit	AF to M&S	Within next 5 days

(ii) Sale Order closure at Area and subsequent Refund –

- a. For more than 90% lifting (including less than one truck load)

Stages	Responsibility	Timeline
Auto Closure and Approval	SAP	Within 7 days from expiry of validity
Refund	AF to M&S	Within next 8 days

^ For bidders who have opted for Third Party Sampling, Sale Order closure shall be initiated only after finalization of results and realization/issuance of Debit/Credit notes as applicable.

SAP to be developed in such a way that bidders opting for third party sampling should be incorporated at the time of contract creation so that at the time of Auto SO closure, SAP is able to fetch and close sale orders whose lifting is more than 90% lifting (including less than one truck load) for cases of No third-party sampling.

- b. For less than 90% lifting:

Stage in SAP	Responsibility	Timeline
SO closure ^	Area Sales Manager	within 3 days of expiry/validity completion of the Sale Order
1 st level	Area Sales Manager	Within next 1 day
2 nd level	Area Finance Manager	Within next 2 days
3 rd level	Area General Manager	Within next 1 day
4 th level	Road Section, M&S HQ	Within next 2 days
5 th level	AF to M&S	Within next 2 days
6 th level	GM/HOD (M&S)	Within next 2 days
Refund	AF to M&S	Within next 2 days

^ For bidders who have opted for Third Party Sampling, Sale Order closure shall be initiated only after finalization of results and realization/issuance of Debit/Credit notes as applicable. Bidders who have opted for third party sampling at the time of bidding shall be under this scenario.

Note: In case of refund of EMD/Bid security, concerned Area General Manager shall continue to be the Approving Authority as per prevailing practice. The Approval shall be communicated to GM/HoD (M&S), ECL HQ.

b. NRS Linkage Auction FSAs and old FSAs - The similar procedure may be followed. However, there is no scenarios for forfeiture /refund of Bid security (EMD).

For system improvement, the committee further recommended the following provisions in ERP: -

- a) Adequacy of financial coverage: The system should allow closure of only such Sale Orders where financial coverage is adequate to cover the bill value and Debit Note, if any. The system should not allow to approve at Level 1 where the refundable value is negative.
- b) Third Party Sampling: There should be facility in ERP at the time of SO closure to confirm, if third party sampling has been carried out or not. in case of a "YES", the system should allow closure of SO only after issuance of applicable debit/credit notes. As discussed with Accenture team, automatic population of Debit/Credit for sampling is not possible in the existing SO close Dashboard, thus another option may be added in SO close Dashboard that "Sampling Dr/Cr. Issuance complete". If the status is "Yes" then the system will allow to close the SO else the system will not allow to close the SO.
- c) Bid Security Refund: In case of Bid Security Refund i.e. in case of Company fault, there should be provision in SO Closure dashboard to mandatorily upload the related documents.
- d) Area Sales Managers/concerned officials of Marketing and Sales shall check the quantity part before according approval and Area Finance Managers/AF to M&S shall check the Value part before according approval.
- e) The Debit/Credit Note affects the Financial Coverage of the SO. Thus all the Debit/Credit Notes which are issuable as on the date of the closure of SO must be completed before closure of SO.
- f) An immediate and automatic alert may be generated and served through SAP in SD Module to the email id of concerned Area Sales Manager to close the Sale Order in case of deviation in timeline mentioned in the SOP/guidelines after expiration of validity of a particular Sale Order.
- g) After completion of refund process of Sale Orders, an auto triggered mail may be forwarded to the concerned buyers. This may enable buyer to be informed regarding their respective refunds.

h) It is also suggested that during process of refund following due formalities of Scheme document as well as prevailing SOP and guidelines, automatic alert may be given on email id of concerned executives if deviation in timeframe as mentioned in the SOP/guidelines.

Steps to be followed for Refund:

- 1) After the validity of the SOs are expired, Area Sales Manager (ASM) shall close the SOs through related T-code in SAP within 3 days. Closure of S.O will be done by ASM of respective Area with Reason and Remarks. (Consumer Fault/Company Fault/Less than a Truck/Lifting more than 90%/ Consumer Fault-Insuperable Conditions/Company Fault-Insuperable Conditions/Mutually Agreed) after considering debit/credit note if applicable. In case of COMPANY FAULT with or without insuperable condition, the Agent/Manager of the concerned unit has to send a proposal to ASM for refund of Bid Security confirming the reason for short delivery duly attaching notice/supporting documents within 2 days of expiry of Sale Order.
- 2) 1st level approval will be done by ASM within 1 Days. In case of sale order which are closed and approved with the reason "COMPANY FAULT with or without insuperable condition", reference number of notesheet for EMD duly approved by Area GM or any other related document should be mandatorily be mentioned in "REMARKS" column at Level 1 approval.
- 3) 2nd level approval will be done by AFM within 2 Days.
- 4) 3rd level approval will be done by Area GM within 2 Days.
- 5) 4th level approval will be done by Road Section, ECL HQ after confirmation of Reason and Remarks within 2 Days.
- 6) 5th level approval will be done by AF to M&S Dept. ECL HQ within 2 Days.
- 7) 6th level approval will be done by HOD/GM, M&S Dept within 2 Days.
- 8) After 6th level of approval, the forfeiture/refund will be processed by AF to M&S within 2 Days.

Implementation of compensation/penalty arising out of modification In CIL SWMA e auction policy.

The committee noted that revised Clause 6.1 inter-alia states that:

Quote

"In case the Buyer fails to deposit coal value to the extent of 100% of successful bid quantity within the specified timeline, the corresponding Bid security for the portion of failed quantity will liable for forfeiture. The coal value backed quantity will be considered as Booked Quantity and further commercial calculations will be done on the basis of Booked Quantity by the Bidder."

Unquote

Hence all calculations will be based on the coal value backed quantity i.e. Booked Quantity.

The matter was referred to CIL for clarification in respect of Clause 7.9. Consequent upon receiving clarification from CIL in this regard, the committee recommends the following modification in the online refund system and SOP in ERP as illustrated below:

Case I:

Lifting less than 90% due to fault of bidder/consumer:

In case the lifting is below 90% of value paid order quantity for the reasons attributable to the bidder, the bidder will be liable to bear penalty amount to the tune of the applicable EMD/ Bid security of the corresponding shortfall quantity. The penalty/compensation will be applicable in case balance unlifted quantity is equal or more than a truck load (9 tonnes) for Road Mode.

Illustration is produced below:

Qty bidded – 100 Te

EMD or Bid Security – Rs. $100 \times 150 = \text{Rs. } 15000/-$

Qty lifted – 70 Te

EMD Forfeiture – $20 \times \text{Rs } 150 = \text{Rs. } 3000/-$. No separate penalty will be charged from consumer.

EMD to be refunded to consumer - $10 \times \text{Rs. } 150 = \text{Rs } 1500/-$.

Case II:

Lifting less than 90% due to fault of seller:

In case the lifting is below 90% of value paid order quantity for the reasons attributable to the seller, the bidder will be refunded the applicable EMD/Bid security of the corresponding shortfall quantity and in addition the seller will pay penalty amount at the rate of applicable EMD/ Bid security. The penalty/compensation will be applicable in case balance unlifted quantity is equal or more than a truck load (9 tonnes) for Road mode.

Illustration is produced below:

Qty bid - 100 Te

EMD or Bid Security – Rs. 100*150 = Rs. 15000/-

Qty offered and lifted – 70 Te

EMD to be refunded to consumer -30*Rs 150 = Rs 4500/-.

Penalty to be paid – 20 * Rs 150= Rs 3000/-

Case III:

Lifting less than 90% due to insuperable conditions:

In case the lifting is below 90% of value paid order quantity for the reasons attributable to insuperable conditions as mentioned at clause No. 11.2 of the scheme, the bidder will be refunded the applicable EMD/Bid security of the corresponding shortfall quantity. No penalty is payable by either bidder or seller. Illustration is produced below:

Qty bid - 100 Te

EMD or Bid Security – Rs. 100* 150 = Rs. 15000/-

Qty offered and lifted – 70 Te

EMD to be refunded to consumer – 30*Rs.150 = Rs. 4500/-.

No separate penalty will be paid by seller i.e. coal company.

Further, the committee noted that in case the clause of insuperable conditions is invoked by either of the parties, all terms and conditions as per Clause 11.2 of the CIL E-Auction Scheme is to be complied with.

Case IV

Lifting more than 90%:

For lifting more than 90% of coal value backed quantity i.e. Booked Quantity there will be no penalty/compensation payable by either Seller or Bidder. The applicable EMD/Bid Security for the balance unlifted quantity will be refunded.

Additional Points:

For cases where penalty is payable by the Seller i.e. ECL, Area GM will ensure to take competent approval as per provisions of DoP / as per decision of the competent authority.